



DOUBLE DIAMOND

INVESTMENT GROUP, LLC



MANAGING CLIENT WEALTH



Double Diamond Invests for Families and Mid to Large Sized Corporations.

Double Diamond – A Partner for these Times

Double Diamond did not begin in a bullish era of incredibly inflating investment bubbles. It began after the hardest landing the US economy has seen since the Great Depression.

Our investment management roots were put down many years earlier, in 1987, when US stock markets dropped a sickening 20% in a single day. The dark days then, and in 2008-2010, helped teach us about risk and how clients can suffer substantial market declines if their savings are not well enough insulated from market and investment risks.



We have a significant track record managing risk including solid strategies and constant vigilance.

Today, with market volatility and low interest rates making recovery from trading losses difficult, avoiding major losses has never been more important.

TOLL FREE: 877.420.2852 • PHONE: 973.352.6680



Double Diamond Investment Group:

- has extensive industry experience
- is a leader that works to improve skills and strategies
- provides expert financial advice in risk management, investment performance and client service

We have maintained a significant track record in managing risk.

- Enables us to limit downside risk while capturing much of the upside.
- Avert heavy losses many other managers have faced, even during the significant market declines of 2000-2002 and 2007-2009

www.doubledig.com



How We Do It

We start by learning about you, not your investments.

- What excites you?
- What frightens you?
- What are your dreams and goals?
- What are your financial objectives?
- Where do you want to be financially in 10 and 30 years?



This thorough evaluation lets us move to the next step. We work closely with you to develop a personalized financial strategy that recognizes your risk tolerance, goals, and investment time horizons. Finally, we explore your investments to see how they measure up to who you are, what you want to achieve, and over what period of time.

We chart the path to the very best portfolio structure by:

- Eliminating duplication and assure adequate diversification
- Designing personalized insurance coverage and estate plans

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Realistic Expectations and Tools Let Us Manage Risk and Meet Goals ...



We work with you to flesh out a set of realistic investment expectations and goals that fit you and your lifestyle. This is just as important to us as managing the investments themselves.

Not just investors' fears, but their realistic expectations are an important part of the raw materials needed to build the right models to mitigate risks and protect both taxable and tax-free portfolios.

*We build the right models to
mitigate risk and protect
our client's portfolios.*





We Keep Working for Our Clients



Personalized Diversification:

Helping to mitigate risk may enhance investment returns in stable markets.

Constant Monitoring:

All investment positions, market conditions, business cycles and economic developments gives us an early-warning network that enables us to make adjustments to adverse market conditions.

Double Diamond is owned and managed by its principal, Andrew Bodner, who has more than 30 years experience in wealth management.

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Full Communication:

We communicate with you on your terms, in order to stay updated of changes in job location, family status and other factors that may affect your current financial plan. We provide clients with updates and analysis, as often as quarterly, at the client's convenience. In addition, we regularly post thought provoking articles on the major social sites discussing relevant investment concepts.

Full Coordination:

At Double Diamond, our standard operating procedure, is to act as your “financial quarterback” by bringing you together with your:

- Estate Planning Attorney
- Accountant
- Legal Attorney



We verify we are effecting the best tax structure each year.

Steadfast Perspective:

We see the big picture; viewing the client's portfolio from a 10,000-foot vantage point. We don't “panic sell”, instead we carefully align clients to investments based on their needs, goals and risk tolerance.



Free Advice for Young Adults



Our goal is to exceed client expectations. Referrals are often the result.

Learning by Teaching:

We offer financial planning and investment management **FREE-OF-CHARGE** to adult children and grandchildren of our clients. This not only helps them learn how to better invest their money, the interaction teaches us more about their goals and values, and hence about how to better serve them.

Our own goal is to exceed client expectations, and for this reason, many clients refer their family and friends to Double Diamond.

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Client Service is Our Mission



Commitment: Top-notch client service is something most firms boast about, but at Double Diamond, it's such an important aspect of our business that we've built it into our software – and our genes. Our client retention rate is unsurpassed because customer service is our priority. Our systems are custom-designed to fully integrate with each other so there will be no opportunity for client requests to “fall through the cracks”.

Speed: It all starts with our dedicated commitment to help clients as quickly as possible. When you call Double Diamond, an associate answers the phone. It is not our business practice to outsource customer service. You are the reason we exist; therefore, we strive to provide all of our clients personal attention. We meet many face-to-face on a regular basis.

www.doubledig.com



Personal Attention and Communication is Key to Our Relationship With Our Clients

For us, emails and phone calls will never replace being able to look our clients in the eye as the best way to communicate. When a client needs service, we respond immediately to recognize his or her request AND indicate when we expect to fulfill the request.



Security: For those clients who wish to communicate with us mainly by email, we understand the need to make that email communication secure, and we have built a completely secure channel.

*When a client needs us,
we respond timely and
professionally to meet their
needs using secure and
confidential methods.*

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Satisfaction Our Clients Have Spoken ...



We don't just talk about our client service; we have the results to back it up. We concluded a client feedback survey in order to serve our clients the best we can. The results have proven that client service really is our number one priority.

- We asked our clients their level of satisfaction with their client/advisor relationship. We received a 100% satisfaction rating.

- We asked how satisfied they are with our attention to their best interest. This too had 100% satisfaction.

- When asked their satisfaction level to help them understand the current economic conditions that effect their financial goals, this also received a 100% satisfaction rating.

- When asked about response time to our client's questions/concerns, 100% of our clients said they were satisfied.

- Finally, when asked about the availability of the members of our staff, we have a 96% satisfaction rate.

- These are just a few examples from our survey that show how our attention to detail puts our clients FIRST!

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Our Discretionary Fee-based Structure Ensures That We Always Will Be:



Independent: Our firm is an independent Registered Investment advisor, owned and operated exclusively by the Principals of Double Diamond. Our simple goal is to make money for our clients. In managing their portfolios through our discretionary, fee-based structure, we act as fiduciaries to all of our clients and are remunerated solely by them.

There are no formal or informal relationships with any marketing

firms, third-party administrators or record keepers, that is, there are no behind the scenes arrangements that can lead to conflicts of interest.

Untainted: Wall Street firms have repeatedly undergone episodes of questionable ethics, SEC investigations, and insider scandals. Each time investors think that these large firms have learned from their mistakes, more mistakes happen. Especially in the “full-service” firms, internal cultures and corporate profit goals often confront financial planners and investment managers with conflicts of interest that should not exist. Double Diamond’s full independence greatly reduces potential for such conflicts.

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Experienced



Our portfolio manager, Andrew Bodner, CFP®, has over 30 years of experience designing and managing fixed income and equity portfolios for all types of institutions, corporations and individual clients.

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Open Architecture

Double Diamond is 100% Independent:

We utilize an open architecture to select the best professionals, services, and managers to meet your needs including:

- Investment managers
- Custodians
- Insurance providers
- Estate Planners

Simple: Have you ever wondered if a transaction was for your benefit or the financial advisor? At Double Diamond the answer is always “for the client.” There is one single fee, based on the size of your portfolio. This directly ties Double Diamond’s fortunes to our performance in protecting and growing our clients’ assets. Therefore, Double Diamond’s and its client’s interests are perfectly aligned.



*We manage investments
from our single office
suburban location
outside New York City.*

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Conclusion

A secure future means protecting your wealth to ensure it will last a lifetime.

Our disciplined process and experience help ensure that your financial plans remain aligned with your goals.



We help you enjoy a comfortable lifestyle no matter how long your retirement. With continued monitoring, we may adjust your asset allocation strategy as often as appropriate to balance your income and growth throughout your retirement years. If and when insurance or annuities become appropriate, we'll initiate a conversation to explain their merits.

Our firm's dedication, skills and resources will help guide you step-by-step so you can live your best life well. We are confident you will see Double Diamond is that firm.



Please visit our website at www.doubledig.com
for additional information.



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Double Diamond Investment Group LLC is A Registered Investment Advisor.
Certain representatives of Double Diamond Investment Group LLC are also registered
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