



DOUBLE DIAMOND

INVESTMENT GROUP, LLC



Double Diamond invests for families and small to mid-sized corporations



Double Diamond -- A Partner for these Times

Double Diamond did not begin in a bullish era of incredibly inflating investment bubbles. It began after the hardest landing the US economy has seen since the Great Depression. And our investment management roots were put down many years earlier, in 1987, when U.S. stock markets dropped a sickening 20% in a single day. The dark days then, and in 2008-2010, helped teach us about risk and how clients can suffer substantial market declines if their savings are not well enough insulated from market and economic risks.



Today, with continued capital markets volatility making similar terrifying scenarios an ongoing possibility – and low investment yields making recovery from trading losses all the more difficult -- avoiding major losses has never been more important. So we have spent countless hours over many years – even long before founding Double Diamond – improving our skills and strategies to become the finest financial advisors in risk management and hence in investment performance, and client service.

We have maintained a significant track record in managing risk

- This has enabled us to meet our goals of limiting much of the downside risk in our clients' portfolio while capturing most of the market's upside
- With solid risk management strategies in place, and constant vigilance, we have averted the heavy losses many other money managers have faced, even during the significant market declines of 2000-2002 and 2007-2009



How We Do It



We start by learning about you, not your investments. What excites you, what frightens you? What are your dreams, your goals and your financial objectives? Where are you now on life's continuum? Where do you want to be in 10 years? 30 years?

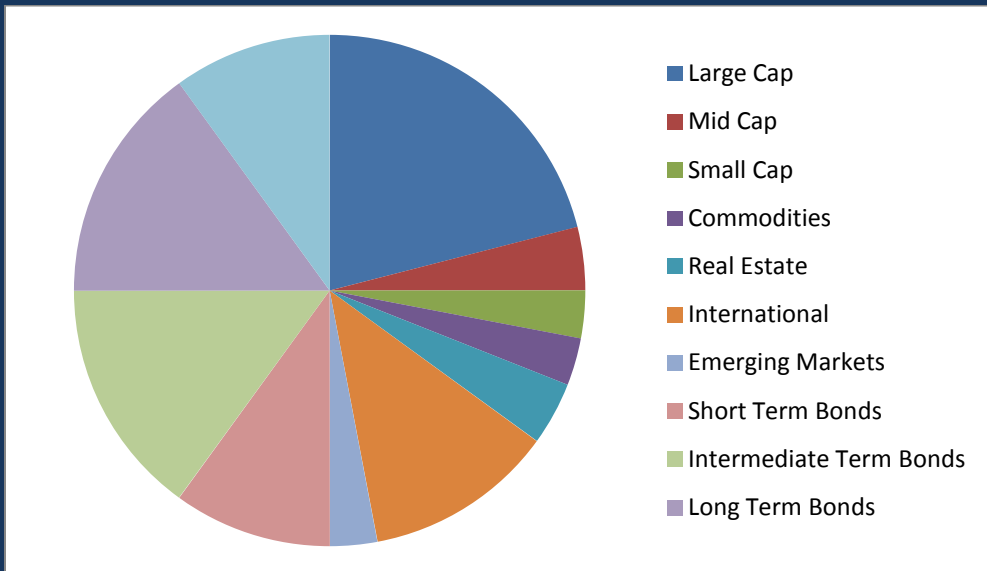
That thorough evaluation lets us move to the next step -- working closely with you on a personalized financial strategy that recognizes your risk tolerance, your goals and investment time horizons. Now, and only now, we can explore your investments to see how they measure up to who you are, what you want to achieve, and over what period of time. Answering these questions charts the path to the very best portfolio structure. On the way, we eliminate duplication and assure adequate diversification. Finally, if appropriate, we can help you design personalized insurance coverage and estate plans, too.

Realistic Expectations and Tools Let Us Manage Risk and Meet Goals...



Working with you to flesh out a set of realistic investment expectations and goals that fit you and your lifestyle is just as important to us at Double Diamond as managing the investments themselves. Not just investors' fears, but their realistic expectations are an important part of the raw materials needed to build the right models to mitigate risks and protect both taxable and tax-free accounts portfolios.

Sample Portfolio-Moderate Allocation



- **Personalized Diversification** not only helps you mitigate risk, but may enhance investment returns in stable markets, too.
- **Constant Monitoring** of all investment positions, market conditions, business cycles and economic developments gives us an early-warning network that enables us to make adjustments to adverse market conditions.

- **Full Communication – on your terms** keeps you updated and lets us stay aware of changes in job location, family status and other factors that may affect your current financial plan. We provide clients with updates and analysis, as often as quarterly, at the client's convenience. In addition, all clients receive emailed weekly market updates every Friday afternoon, and thought-provoking emails discussing relevant investment concepts each month, too.



- **Full Coordination** is standard operating procedure at Double Diamond. We act as your "financial quarterback" to bring together your attorney, accountant, and you, to assure you are receiving the proper service.
- **Steadfast Perspective** lets us always see the big picture; the client's portfolio from a 10,000-foot vantage point. We don't "panic sell" and because we so carefully align clients to the investments based on their needs, goals and risk tolerance, we rarely get a client "panic call" when markets decline.

Free Advice for Young Adults



Learning by Teaching We offer financial planning and investment management **FREE OF CHARGE** to **adult children and grandchildren** of our clients. **This** not only helps them learn how to better invest their money, the interaction teaches us more about their goals and values, and hence about how to better serve them.

Our own goal is to exceed client expectations and, for all of these reasons ***many clients refer their family and friends*** to Double Diamond.

Client Service is Our Mantra

Commitment: Top notch client service is something most firms boast about, but at Double Diamond, it's such an important aspect of our business that we've built it into our software -- and our genes. Our client retention rate is unsurpassed because customer service is our obsession. Our systems are custom-designed to fully integrate with each other so there will be no opportunity for client requests to "fall through the cracks".



Speed: It all starts with our dedicated commitment to help clients as quickly as possible. When you call Double Diamond, an actual person answers the phone. We don't and won't outsource customer service to a call-center **anywhere**. You are the reason we exist. We know it and will always treat you that way. We meet with our clients face-to-face on a regular basis.

For us, emails and phone calls will never replace being able to look our clients in the eye as the best way to communicate. When a client needs service, we respond immediately to recognize his or her request AND indicate what time we expect to fulfill the request.



Security: For those clients who wish to communicate with us mainly by email, we understand the need to make that email communication secure, and have built a completely secure channel for clients to communicate with us by email.

Satisfaction

Our Clients Have Spoken...

We don't just talk about our client service; we have the results to back it up. In 2013, we concluded a client feedback survey in order to serve our clients the best we can. The results have proven that client service really is our number one priority.



- We asked our clients their level of satisfaction with their client/advisor relationship. We received a 100% satisfaction rating.
- We asked how satisfied they are with our attention to their best interest. This too had 100% satisfaction.
- When asked their satisfaction level to help them understand the current economic conditions that effect their financial goals, this also received a 100% satisfaction rating
- When asked about the response time to our clients' questions/concerns, 100% of our clients said they were satisfied.
- Finally, when asked about the availability of the members of our staff, we have a 96% satisfaction rate.
- These are a just a few examples from our survey that show how our attention to detail puts our clients FIRST!



Our Discretionary Fee-based Structure ensures that we always will be:

Independent

Our firm is an independent Registered Investment Advisor, owned and operated exclusively by the Principals of Double Diamond. Our simple goal is to make money for our clients. In managing their portfolios through our discretionary, fee-based structure, we act as fiduciaries to all of our clients and are remunerated solely by them. There are no formal or informal relationships with any marketing firms, third-party administrators or record keepers, that is, there are no behind-the-scenes arrangements that can lead to conflicts of interest.

Untainted

Wall Street firms have repeatedly undergone episodes of questionable ethics, SEC investigations, and insider scandals. Each time investors think that the Street's large firms have learned from their mistakes, more mistakes happen. Especially in the "full service" firms, internal cultures and corporate profit goals often confront financial planners and investment managers with conflicts

of interest that never should exist. Double Diamond's full independence greatly reduces potential for such conflicts.

Experienced

Our portfolio manager-Andrew Bodner, CFP®- has 25 years of experience designing, managing, and running fixed income and equity portfolios for all types of institutions, corporations and individual clients.



Open Architecture

As mentioned above, Double Diamond is 100% independent and thus has a complete open architecture regarding the selection of investment managers, custodians, insurance providers, mortgage bankers, estate planners, etc. We promise to select the best available services, managers, and other professionals to meet your needs.

Simple

Have you ever wondered if a transaction was for your benefit or the financial advisor's? At Double Diamond, the answer is always “for the client.” There is one single fee, based on the size of your portfolio. This directly ties Double Diamond’s fortunes to our performance in protecting and growing our clients’ assets. Therefore, Double Diamond’s and its client’s interests are perfectly aligned.

We manage investments from our single office suburban location outside New York City

Conclusion

You've achieved a level of financial success that demands attention and care. A secure future means protecting your wealth to ensure it will last a lifetime, and beyond.

Our disciplined process, experience and vigilance help ensure that your financial strategies remain aligned with your goals and make it possible to anticipate, act, and capitalize on capital market changes to perform well against those goals.

Through sound advice and strategies, we help you enjoy a comfortable lifestyle throughout life, no matter how long your retirement. With continued monitoring, we may adjust your asset allocation strategy as often as appropriate to balance your income and growth throughout your retirement years. If and when insurance or annuities become appropriate, we'll initiate a conversation to explain their merits, too.

Your future is much more than a succession of random tomorrows. It is both a path, and ultimately a destination, too. Planning that path, and reaching that destination requires dedication and skill, and a firm that will help guide you step-by-step toward your goals. We believe Double Diamond is that firm.



Please visit our website at www.doubledig.com for additional information.



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